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US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/945,184
Filing Date	August 31, 2001
First Named Inventor	Knight, Timothy
Group Art Unit	3628
Examiner Name	Siegfried E. Chencinski

Sheet 1 of 1

Attorney Docket No: 0006-003002

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Examiner Initial *	Cite No. *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	Stock trading application program						
Application Number:		09/945184					
Confirmation Number:		4257					
First Named Applicant:		Timothy Knight		RECEIVED MAY 05 2003 GROUP 3600			
Attorney Docket Number:		PROP 98002C					
Examiner:		Jeffrey Pwu					
Search string:		(6031537 or 6363377).pn.					
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<i>ZA</i>	1	6031537	2000-02-29	Hugh			
<i>ZA</i>	2	6363377	2002-03-26	Kravets et al.			
Signature							
Examiner Name				Date			
<i>K. Apple</i>				12/14/05			

Form PTO-1449
(REV. 7-92)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAttorney's Docket Number:
PROP 98002CSerial No.:
09/945,184**INFORMATION DISCLOSURE STATEMENT
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(On several sheets if necessary)



Applicant(s): Timothy Orr Knight

Filing Date: 8/31/01

Group Art Unit : 2173

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 Group 2100
U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	5	9	1	1	1	3	8	6/8/99	Li et al.	707	3	6/4/93

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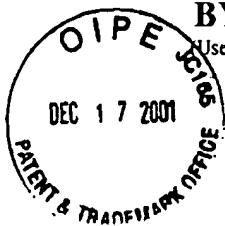
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Form PTO-1449 (REV. 7-92)	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	Attorney's Docket Number: PROP 98002C	Serial No.: 09/945,184
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant(s): Timothy Orr Knight	
		Filing Date: 8/31/01	Group Art Unit : 2173



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*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KSP	5	8	4	1	4	3	7	11/24/98	Fishkin et al.	345	346	6/7/95
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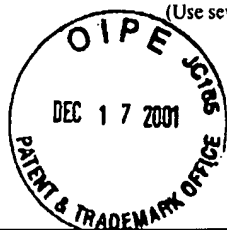
	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	<i>KSP</i>	DATE CONSIDERED	12/14/05
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(REV. 7-92)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAttorney's Docket Number:
PROP 98002CSerial No.:
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BY APPLICANT**

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Applicant(s): Timothy Orr Knight

Filing Date: 8/31/01

Group Art Unit : 2173

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ZSP	5	5	1	5	4	8	8	5/7/96	Hoppe et al.	395	140	8/30/94
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Kest Appl.

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